



**The New Long Term Care Survey Process
and
The Top Ten Deficiency Findings**

Course Objectives

- San Diego District Office Organization Structure
- Identify the top 10 deficiency findings
- Describe the New Long Term Care Survey Process



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The San Diego Management Team

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Health Facilities Evaluator Nurses

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- Jane Menke
- Kelton Moore
- Christine Nottingham
- Julie Paterson
- Rhonda Ragan
- Jackie Ruegg
- Pearl Simon
- Sharla Smith
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STAFF & CONSULTANTS

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- Dr. James Richards
- Dr. Emily Do-Pharmacy
- Samira Jones-Nutrition
- Abigail Renick-SEQIS
- Joel Yalung–Life Safety
- Jose Gonzales–Life Safety
- Andrea Evering–Life Safety
- Tiffany Fox–Medical Breach
- Kim Dumas–Staffing
- Robert Castaneda-Staffing

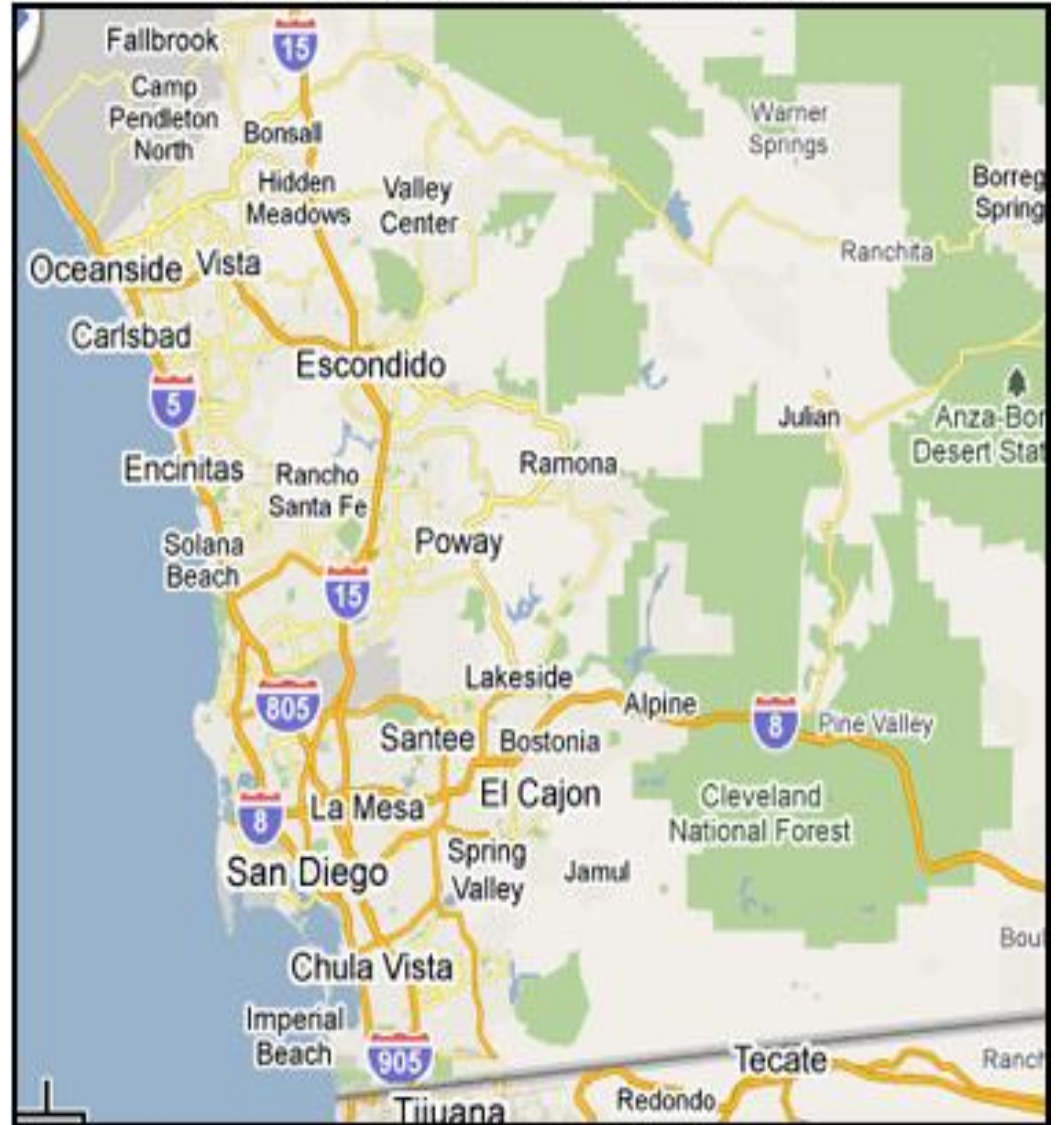




San Diego County

- Skilled Nursing Facility
- General Acute Care Hospital
- Clinics
- Ambulatory Care Surgery
- Home Health Agency
- Hospice
- Dialysis
- Congregate Health Living Facilities
- ICF/IID

MAP OF SAN DIEGO COUNTY



Imperial County

- Skilled Nursing Facility
- General Acute Care Hospital
- Clinics
- Ambulatory Care Surgery
- Home Health Agency
- Hospice
- Dialysis
- Congregate Health Living Facilities
- ICF/IID



San Diego Skilled Nursing Facilities Top 10 Federal Violations (Tags) – From Surveys Conducted 4/1/2017 - 11/27/2017

Regulation Description - Tag/Title/CFR	Count	Ranking
Total	791	
0279 / DEVELOP COMPREHENSIVE CARE PLANS / 483.20(d);483.21(b)(1)	42	1
0323 / FREE OF ACCIDENT HAZARDS/SUPERVISION/DEVICES / 483.25(d)(1)(2)(n)(1)-(3)	38	2
0514 / RES RECORDS-COMPLETE/ACCURATE/ACCESSIBLE / 483.70(i)(1)(5)	31	3
0282 / SERVICES BY QUALIFIED PERSONS/PER CARE PLAN / 483.21(b)(3)(ii)	30	4
0431 / DRUG RECORDS, LABEL/STORE DRUGS & BIOLOGICALS / 483.45(b)(2)(3)(g)(h)	28	5
0309 / PROVIDE CARE/SERVICES FOR HIGHEST WELL BEING / 483.24, 483.25(k)(l)	26	6
0371 / FOOD PROCURE, STORE/PREPARE/SERVE - SANITARY / 483.60(i)(1)-(3)	26	7
0441 / INFECTION CONTROL, PREVENT SPREAD, LINENS / 483.80(a)(1)(2)(4)(e)(f)	26	8
0425 / PHARMACEUTICAL SVC - ACCURATE PROCEDURES, RPH / 483.45(a)(b)(1)	23	9
0241 / DIGNITY AND RESPECT OF INDIVIDUALITY / 483.10(a)(1)	19	10



San Diego Skilled Nursing Facilities Top 10 Federal Violations (Tags) From Surveys Conducted 1/2/2018 - 3/23/2018

Regulation Description - Tag/Title/CFR	Count	Ranking
Total	146	
0656 / Develop/Implement Comprehensive Care Plan / 483.21(b)(1)	6	1
0755 / Pharmacy Srvcs/Procedures/Pharmacist/Records / 483.45(a)(b)(1)-(3)	6	2
0761 / Label/Store Drugs and Biologicals / 483.45(g)(h)(1)(2)	6	3
0641 / Accuracy of Assessments / 483.20(g)	5	4
0677 / ADL Care Provided for Dependent Residents / 483.24(a)(2)	5	5
0880 / Infection Prevention & Control / 483.80(a)(1)(2)(4)(e)(f)	5	6
0514 / RES RECORDS-COMPLETE/ACCURATE/ACCESSIBLE / 483.70(i)(1)(5)	4	7
0689 / Free of Accident Hazards/Supervision/Devices / 483.25(d)(1)(2)	4	8
0226 / DEVELOP/IMPLMENT ABUSE/NEGLECT, ETC POLICIES / 483.12(b)(1)-(3), 483.95(c)(1)-(3)	3	9
0282 / SERVICES BY QUALIFIED PERSONS/PER CARE PLAN / 483.21(b)(3)(ii)	3	10

Skilled Nursing Facility Survey Process

Survey Time Frame

- Annually
- 4 or 5 day Survey
- State Operation Manual
(Federal Regulations)

Survey Team

- Health Facilities
Evaluator Nurses (HFEN)
- *Nutrition Consultant*
- *Pharmacy Consultant*



Sample Selection

- A 1 1/2 day process
- - Offsite Pre-selected Sample Residents
- - Sample residents selected during tour



Survey Tasks

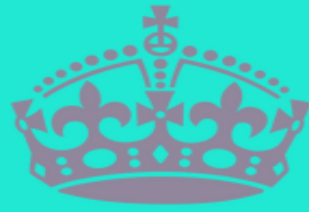
- Dining observation
- - Medication administration/medication storage
- - Kitchen observation
- - Confidential resident council meeting
- - Infection Control
- - Quality Assurance & Performance Improvement (QAPI)



Deficiency Determination

- All findings from each surveyors shared with the Team Coordinator





THANK YOU
FOR
ANY
QUESTIONS
OR
COMMENTS